



Cybersecurity: What does it Mean for Alternatives Managers?

February 17, 2015 at 6 PM
New York

Increasingly, international hackers are developing sophisticated methods for accessing private data. Traditionally a threat to credit card and bank details, hackers are now also targeting Personally Identifiable Information ("PII"), which includes a much wider range of data and gives hackers have access to a more comprehensive set of consumer data, facilitating new types of social engineering attacks and profiling.

These developments present a risk to alternatives managers and their clients' data in the alternatives space. However, precautionary measures can limit the exposure. Leading an insightful talk on the issue, our panel will discuss the implications for the alternatives space and the financial services industry at large as well as best practices for protecting your clients' information.

Participants

Ashley Gimbel, Neuberger Berman
Judy Selby, BakerHostetler
Keith Swiat, McGladrey LLP
Annu Warikoo, Millennium Partners L.P.
Alan Alzfan, CPA, Moderator, McGladrey LLP

Event Details

Date: February 17, 2015

Time: 5 PM Registration.

We will begin *promptly* at 6 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of 100WHF and the host. Please note the start time on this invite and plan to arrive early.

Hosts: BakerHostetler and McGladrey

Location: 45 Rockefeller Plaza, 14th Floor, **New York**, NY 10111

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If you have any questions about this event, please contact the [New York Education](#) committee.

This event is NOT FOR ATTRIBUTION. All 100WHF events are private events and we require that no one reports publicly on any aspect of them.

Admission is free, but there is a \$25 charge if you register and do not attend, even if you cancel in advance. No-show proceeds will be donated to 100WHF Foundation - Celebrating Education/Investing in the Next Generation initiative, the 2015 beneficiary of 100WHF's US philanthropic initiatives.

If you have no-show fees in arrears, the system cannot register you for an event. You can view and pay for any outstanding no-show fees online from your [Member Profile](#)

Space is limited. No walk-ins will be permitted.

Biographies

Ashley Gimbel, Senior Vice President, Neuberger Berman

Ashley Gimbel is a Senior Vice President of Neuberger Berman and a member of the Dyal Business Services Platform. Ashley is primarily responsible for providing operational and infrastructure best practice consulting and thought leadership to Dyal's Strategic Partners. Prior to joining Dyal, Ashley was a Vice President within Prime Services at Credit Suisse, where she was a key member of the Hedge Fund Consulting team for six years. Prior to Credit Suisse, Ashley was a Senior Sales Consultant for Eze Castle Integration, where she was responsible for assisting hedge funds with IT infrastructure and Disaster Recovery planning. Ashley graduated with Honors from Stephen F. Austin State University, with a B.A. in English.

Judy Selby, Partner, BakerHostetler

Judy Selby is co-leader of BakerHostetler's Information Governance team and founded the E-discovery and Technology Management team. She also defends class action lawsuits and recently won the complete dismissal of a data breach class action in *Polanco v. Omnicell, Inc.* Judy handles cutting edge privacy, data breach, information governance, cyber insurance, and insurance coverage matters. She also has successfully completed a course on Tackling the Challenges of Big Data with the Massachusetts Institute of Technology (MIT), Professional Education. Judy is co-chair of the Claims and Litigation Management Alliance (CLM) Cyber Liability Committee and is a member of the ABA Big Data Committee and the Sedona Conference Data Security and Privacy Liability Working Group 11. She has more than 20 years of experience in large scale first- and third-party complex insurance coverage matters, providing a full range of services from opinion work, coverage gap analysis, claims counseling, broker liability, settlement negotiations, international arbitration, and all phases of insurance coverage litigation.

Judy is a member of the Law360 Insurance Editorial Advisory Board, the Editorial Advisory Board of Law Technology News, the Professional Liability Underwriters Association, and the Defense Research Institute. She also is a contributor to InsuranceThoughtLeadership.com. Judy was selected as LawCrossing's Law Job Star in July 2014, featured in Law Technology News as a leading woman in technology in August 2014, and was recently quoted in Reuters with regard to data breach class actions against Target.

Keith Swiat, Director, Privacy & Security, McGladrey LLP

Keith Swiat brings over 20 years of experience in information technology, including 10 years of experience in management and network/application security with a strong technical expertise with mobile platforms. He is an expert advisor on best practices and compliance for software vendors developing mobile/web/desktop applications. As an active participant in the payment card industry, Keith has collaborated with standards organizations, merchants and software vendors to create new data security standards and best practices. His proven leadership skills focus on utilizing the individual strengths of team members to build productive and cohesive practices.

Annu Warikoo, Global Head of Business Continuity and Technology Risk, Millennium Partners L.P.

Annu is an ISACA certified Information Technology Risk Management professional with significant experience in leading multi-phase, multi-platform projects with global teams, in Financial firms. Her areas of expertise are in Technology Risk, Business Continuity, Disaster Recovery, and Regulatory Response for Technology for the Enterprise. Since February 2012, Annu has been with Millennium Partners in the role of Business Continuity and Technology Risk program leader. Annu was with Morgan Stanley for almost 19 years before joining Millennium Partners. She was the Chief Risk Officer for the Enterprise Infrastructure department within Technology and Data division at Morgan Stanley. During her career at Morgan Stanley she led enterprise-wide technology programs, and had operational line responsibilities in the area of Disaster Recovery and Application development for Morgan Stanley Investment Management. Annu has led the Technology Risk Regulatory Response team for Americas and EMEA regulatory exams, enquiries, and license applications, prior to which she was the Americas lead for Technology Disaster Recovery. Prior to joining Morgan Stanley Annu worked at Metlife Insurance as a Systems performance engineer.

Annu has an MBA from Baruch College C.U.N.Y and a BA in Computer Science from Queens College, C.U.N.Y.

Alan Alzfan, CPA, Partner, National Hedge Fund Leader, McGladrey LLP

Alan is a Partner based in the New York office of McGladrey LLP. He serves as the National Hedge Fund Leader and Northeast Strategic Financial Services Lead. With over 24 years of experience in public accounting, he works with a diverse range of clients in the financial services industry including start-up funds to multi-billion-dollar fund groups. His clients include domestic and offshore hedge funds, private equity funds, fund of funds, registered investment advisors and related entities.

Alan provides financial reporting, compliance and planning, advisory and financial management consulting services to the alternative investment industry. He advises on various accounting and auditing issues, assists clients in the structuring of existing and the formation of new funds including review of fund documents as well as consults on various operational and business issues.

As a frequent speaker and moderator, Alan helps set the industry's knowledge agenda. Recently, Alan discussed What hedge funds need to know about cybersecurity today, valuation guidelines and fair value accounting, hedge fund financial reporting, and issues related to starting an investment advisory business. He has also briefed law firm executives on accounting and auditing issues.

BakerHostetler

About BakerHostetler

BakerHostetler, one of the nation's largest law firms, represents clients around the globe. With offices coast to coast, our more than 900 lawyers litigate cases and resolve disputes that potentially threaten clients' competitiveness, navigate the laws and regulations that shape the global economy, and help clients develop and close deals that fuel their strategic growth.

BakerHostetler has five core practice groups: Litigation, Business, Employment, Intellectual Property, and Tax. Within these groups are several large specialty practices, including antitrust, bankruptcy, health care, energy, middle market mergers and acquisitions, complex commercial litigation, data privacy and security, patent prosecution and international tax. Our attorneys have broad knowledge and experience in many industries, including energy, media, manufacturing, healthcare, financial services and insurance, consumer products, and hospitality.

With more than 25 years of experience representing and counseling investment managers and hedge funds, we have a deep understanding of the day-to-day challenges facing the hedge fund industry and a breadth of capability across practice groups that is second to none.

We offer a full suite of integrated, value-added services, from fund formation and investment strategy to regulatory compliance and litigation, as only a national law firm can. Our clients range from hedge funds and family offices to fund of funds and to investment funds whose portfolios include consumer and commercial lending, distressed debt, derivatives, REITs and settlement payments, and other commodities and alternative asset classes. For more information, please go to <http://www.bakerlaw.com/HedgeFundIndustry>.



About McGladrey

McGladrey LLP, a licensed CPA firm, is the nation's leading provider of assurance, tax and consulting services focused on the middle market. With more than 7,000 professionals in 75 U.S. cities and access to more than 32,000 people in 100 countries through our membership in RSM International, we can meet your needs wherever in the world you do business.

McGladrey has more than 50 years of experience serving the financial services community in key financial hubs. Our professionals help organizations navigate complex reporting, governance and regulatory issues to achieve their business objectives. Based on the knowledge that comes from serving alternative investment companies, investment advisers, investment partnerships/hedge funds, private equity funds, BDC's, mutual funds, broker-dealers and futures commission merchants, we understand the complex operational, financial reporting and compliance issues facing the industry. We also offer registration and independent testing services to meet FINRA/SEC and CFTC obligations, while providing GIPS verification, product and technology due diligence and risk assessments.

McGladrey provides industry insight, advice and solutions to financial services organizations across the country and around the world. That's what you can expect from McGladrey. Experience the Power of Being Understood.SM

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