



**Education Session No. 593:
Regulatory Issues in Alternative Investments - Product Governance and Cross Border Implications**

November 19, 2015 at 12:30 PM
Geneva

Regulation affects almost every aspect of the financial services industry, now and tomorrow. What are the implications of CISA, PRIIPS, FIDLEG, Basel III, Volcker Rule, and the Dodd-Frank Act in the current environment?

Hear about the latest regulatory changes, including MIFID 2 and AIFMD. From a legal and operational perspective, panelists will describe how these new regulations will affect firms both locally and from a cross-border perspective. Get pointers on how your firm can prepare to comply with the new regime. A Q and A follows the discussion, so bring your thoughts and what-if scenarios.

Participants

Yvonne Lenoir, BRPSA
Anne Simond, *Moderator*, ARM Swiss Representatives SA
Charlotte Stalin, Simmons & Simmons
Olivier Wibo, Bloomberg

Event Details

Date: November 19, 2015

Time: 12 PM Registration.

We will begin *promptly* at 12:30 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of 100WHF and the host. Please note the start time on this invite and plan to arrive early.

Networking and canapés will follow.

Host: Bloomberg

Location: Rue du Marché 40, **Geneva**, 1204, Switzerland

RSVP: [RSVP Now](#)

If you have any questions about this event, please contact the [Geneva Education](#) committee.

This event is NOT FOR ATTRIBUTION. All 100WHF events are private events and we require that no one reports publicly on any aspect of them.

Admission to this event is free, but there is a 30 CHF charge if you register and do not attend (even if you cancel in advance). No-show proceeds will be donated to the 2015 beneficiary of 100WHF's Swiss philanthropic initiatives.

If you have no-show fees in arrears, the system cannot register you for an event. You can view and pay for any outstanding no-show fees online from your [Member Profile](#)

Space is limited. No walk-ins will be permitted.

Biographies

Yvonne Lenoir, Partner, BRPSA

Yvonne Lenoir Gehl is the partner in charge of the asset management department of BRP Bizzozero & Partners SA. Before joining BRP in 2013, she was Senior Regulatory Advisor at the European Fund and Asset Management Association and in particular in charge of AIFMD and questions related to third countries. Between 2006 and 2009 Yvonne headed KBC's International Team responsible for the distribution and placement worldwide of the KBC Group's Luxembourg, Belgian and Irish fund ranges. She acquired her first experience in asset management as senior associate at Swiss law firm Lenz & Staehelin from 2001 to 2006. Yvonne holds a Swiss bar exam and an LLM from the College of Europe Bruges and has studied law at the universities of Zurich and Geneva. Yvonne was included by Financial News in the list of 100 most influential women in Finance in 2012 and the 40 under 40 Rising Stars in Asset Management in 2013.

Anne Simond, Chief Executive Officer and Head of Legal Risk & Compliance, ARM Swiss Representatives SA
Anne Simond is Chief Executive Officer and Head of Legal Risk & Compliance at ARM Swiss Representatives SA ("ASR"), one of the leading Swiss independent FINMA regulated Swiss representative.

Anne has extensive experience in legal, compliance and product development for alternative asset managers as well as legal and structuring expertise for derivative products in investment banking. She has held various senior legal positions at EIM, UBP, Credit Suisse and Morgan Stanley and was recently the project lead for obtaining a FINMA asset management license for a local firm. Anne is a Qualified English solicitor (Hogan Lovells) and has a Master of Law from the Université des Sciences Sociales in Toulouse. She has been based in Switzerland since 2003 and is a founding member of the Geneva Chapter of 100 Women in Hedge Funds.

Charlotte Stalin, Partner, Simmons & Simmons

Charlotte is a partner in Simmons & Simmons financial services regulation practice in London. She specializes in UK and European regulatory matters and advises financial institutions on a wide range of regulatory matters. In particular, Charlotte advises EU and non-EU asset managers and investment banks on the provision of financial services across Europe and on the establishment and structuring of their businesses. She also advises clients on the ongoing regulatory reform. She is currently involved in advising on the impact of regulatory measures such as AIFMD, EMIR, MIFiD2 and PRIIPS. She runs regulatory round tables for leading financial institutions and presents on these topics. She also manages navigator - the Simmons & Simmons online regulatory subscription service which provides information in relation to over 90 jurisdictions - the current products are: navigator: funds; navigator: closed-ended products; navigator: derivatives; and navigator: share disclosure.

Charlotte joined Simmons & Simmons as a partner in 2006. She was previously at a major international law firm. She is based in our London office, in the financial services group - part of the firm's financial markets department.

Bloomberg

About Bloomberg

The founding vision in 1981 was to create an information services, news and media company that provides business and financial professionals with the tools and data they need on a single, all-inclusive platform. The success of Bloomberg is due to the constant innovation of our products, unrivaled dedication to customer service and the unique way in which we constantly adapt to an ever-changing marketplace. The Bloomberg Professional service is a powerful and flexible tool for financial professionals-whatever their needs-in cash and derivatives markets as diverse as equities, currencies, commodities, money markets, government and municipal securities, mortgages, indices, insurance and legal information. The Bloomberg Professional service seamlessly integrates the very best in real-time data, news and analytics.



100 women in hedge funds®

About 100 Women in Hedge Funds (www.100womeninhedgefunds.org)

100 Women in Hedge Funds is a global, practitioner-driven non-profit organization serving over 13,000 alternative investment management investors and professionals in 20 locations through educational, professional leverage and philanthropic initiatives. Formed in 2001, 100 Women in Hedge Funds has hosted over 500 industry education events globally, connected more than 350 senior women through Peer Advisory Groups and raised over \$38 million for philanthropic causes in the areas of women's and family health, education and mentoring.