



How to be Prepared for an SEC Exam in 2015

May 13, 2015 at 3 PM
Los Angeles, CA

With the SEC recently increasing its resources to examine private funds, investment adviser compliance and exam preparation is critical. This program will provide current information on the changing regulatory landscape, insight on maintaining a successful compliance program, and a better understanding of the exams process. Join us for a discussion of the SEC Examination Priorities for 2015 and more with the SEC staff, investment adviser industry representatives and legal professionals.

Participants

Karol Pollock, Associate Director, U.S. Securities & Exchange Commission
Jose Sanchez, *Moderator*, Partner, Sidley Austin LLP

Reena Lalji, General Counsel, Wilshire Associates
Jennifer Norman, Deputy General Counsel, Canyon Partners LLC
David Tang, Partner, Sidley Austin LLP
Sofya Abrams, *Moderator*, Counsel, Sidley Austin LLP

Event Details

Date: May 13, 2015
Time: 2:30 PM Registration
3:00 PM Conversation with Karol Pollock
4:00 PM Networking Break
4:20 PM Funds Representatives Panel
5:30 PM Cocktail Reception
Host: Sidley Austin LLP
Location: Intercontinental Los Angeles - Century City
2151 Avenue of the Stars, **Los Angeles**, CA 90067 - [Directions](#)
RSVP: [RSVP Now](#)

If you have any questions about this event, please contact the [California, South](#) committee.

This event is NOT FOR ATTRIBUTION. All 100WHF events are private events and we require that no one reports publicly on any aspect of them.

Admission is free, but there is a \$25 charge if you register and do not attend, even if you cancel in advance. No-show proceeds will be donated to 100WHF Foundation - Celebrating Education/Investing in the Next Generation initiative, the 2015 beneficiary of 100WHF's US philanthropic initiatives.

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Space is limited. No walk-ins will be permitted.



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First-tier national ranking in Private Funds/Hedge Funds Law - 2015 U.S. News - Best Lawyers® "Best Law Firms" Survey.



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