



Navigating Conflicts of Interest to Safer Shores - A Conversation with Julie M. Riewe, Co-Chief, Asset Management Unit, SEC Division of Enforcement

May 12, 2015 at 6:00 PM
Washington, DC

100WHF invites you to bring your daughter, niece, or any young woman you mentor as part of *Celebrating Education - Investing in the Next Generation* initiative.

An area of intense focus by the SEC's 2019 Division of Enforcement is whether investment advisers to hedge funds and private equity funds are discharging their fiduciary obligations in identifying, eliminating or mitigating and disclosing conflicts of interest. The SEC is bringing enforcement actions upon discovering that advisers have failed to properly identify and then, address their conflicts. This area will continue to be a top priority for the SEC in its examinations and enforcement investigations.

We invite you to attend a presentation with Julie M. Riewe, the Co-Chief of the Asset Management of the SEC Division of Enforcement and a key member of the SEC 2019. Ms. Riewe has responsibility for the agency's enforcement activities affecting private funds and their investment advisers.

100 Women in Hedge Funds thanks K&L Gates for hosting this event. A networking reception for 100 Women in Hedge Funds members and guests will follow the presentation.

If you are bringing a female guest, please RSVP with your guest's name.

Participants

Stavroula E. Lambrakopoulos, K&L Gates
Julie M. Riewe, SEC Division of Enforcement

Event Details

Date: May 12, 2015

Time: 5:30 PM Registration.

We will begin *promptly* at 6:00 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of 100WHF and the host. Please note the start time on this invite and plan to arrive early.

Host: K&L Gates LLP

Location: 1601 K Street NW, **Washington**, DC 20006

RSVP: [RSVP Now](#)

You may include a non-member guest with your RSVP.

If you have any questions about this event, please contact dcmetro@100womeninhedgefunds.org

This event is NOT FOR ATTRIBUTION. All 100WHF events are private events and we require that no one reports publicly on any aspect of them.

Admission is free, but there is a \$25 charge if you register and do not attend, even if you cancel in advance. No-show proceeds will be donated to 100WHF Foundation - Celebrating Education/Investing in the Next Generation initiative, the 2015 beneficiary of 100WHF's US philanthropic initiatives.

Space is limited. No walk-ins will be permitted.

Biographies

Stavroula E. Lambrakopoulos, Partner, K&L Gates

As a partner in K&L Gates' Securities Enforcement and Securities Litigation practice groups, Stavroula Lambrakopoulos concentrates her practice in securities enforcement matters, securities and financial services litigation, internal investigations and broker-dealer regulation. She regularly defends corporate and individual clients in enforcement proceedings before the SEC, the Department of Justice, FINRA, and state securities regulators. She regularly represents investment advisers, other financial institutions, corporations, and their officers in complex financial services cases and securities class action litigation before federal and state courts at the trial and appellate level, including first-chairing jury and bench trials, and defends FINRA securities arbitrations. Her clients include banks and other financial institutions, broker-dealers, investment advisers, hedge funds, trust companies, colleges and universities, and their directors, officers and employees. Ms. Lambrakopoulos also served for ten years in various senior-level positions on Capitol Hill and in state government, concentrating on federal and state legislative initiatives and lobbying in the areas of energy, environment, health care and appropriations. She received her B.A. degree and her J.D. from George Washington University. She is a member of 100 Women in Hedge Funds.

Julie M. Riewe, Co-Chief, Asset Management Unit, SEC Division of Enforcement

As Co-Chief of the Asset Management Unit, Julie Riewe oversees a nationwide program of investigations focusing on investment advisers, investment companies, mutual funds, hedge funds, private equity funds, and other investment vehicles managed by investment advisers. She has led a broad range of investment adviser investigations, including matters involving portfolio valuation, conflicts of interest, fees, governance, performance advertising, trading practices, market manipulation, best execution, principal transactions, and disclosure issues, as well as other investigations involving financial fraud, FCPA, and insider trading. Prior to her appointment as Co-Chief in July 2013, she was Deputy Chief of the Asset Management Unit and an Assistant Director in the Division. Before joining the SEC in 2005, Ms. Riewe worked in private practice at Wilmer Cutler Pickering Hale and Dorr LLP in Washington, DC and served as a law clerk to The Honorable Diana E. Murphy on the United States Court of Appeals for the Eighth Circuit. She received her A.B. degree from Duke University and her J.D./M.P.P. from Duke University School of Law, where she was a member of the Duke Law Journal and Editor-in-Chief of the Duke Journal of Gender Law & Policy.



100 women in hedge funds®

About 100 Women in Hedge Funds (www.100womeninhedgefunds.org)

100 Women in Hedge Funds is a global, practitioner-driven non-profit organization serving over 13,000 alternative investment management investors and professionals through educational, professional leverage and philanthropic initiatives. Formed in 2001, 100 Women in Hedge Funds has hosted close to 500 industry education events globally, connected more than 250 senior women through Peer Advisory Groups and raised over \$36 million for philanthropic causes in the areas of women's health, education and mentoring.