



**100 women in
hedge funds®**

Impact of European Regulatory Developments

June 12, 2013 at 6:30 PM
London

The requirements and implications of both AIFMD and EMIR are extensive and deadlines for implementation are fast approaching.

Learn more at this timely open discussion on European Regulatory Developments exclusively for Investor and Legal Peer Advisory Group members and Senior Practitioners.

Topics to be discussed are:

- 1) The impact that the AIFMD will have on
 - remuneration
 - marketing and
 - general fund operations (including depositary requirements); and
- 2) The mandatory clearing obligations and risk mitigation requirements under EMIR.

Participants

Bridget Barker, Macfarlanes LLP
Bill Prew, INDOS Financial Limited
Kate Wormald, Oesa Limited
Caroline Hoare, *Moderator*, IPAF (UK) Ltd.

Event Details

Date: June 12, 2013

Time: 6 PM Registration.

We will begin *promptly* at 6:30 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of 100WHF and the host. Please note the start time on this invite and plan to arrive early.

Networking and cocktails before and after session.

Host: Macfarlanes LLP

Location: 20 Cursitor Street, **London**, EC4A 1LT, United Kingdom

RSVP: Please refer to your invite

If you have any questions about this event, please contact the [London Professional Leverage](#) committee.

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This event is NOT FOR ATTRIBUTION. All 100WHF events are private events and we require that no one reports publicly on any aspect of them.

Admission to this event is free, but there is a £20 charge if you register and do not attend (even if you cancel in advance). No-show proceeds will be donated to Action on Addiction, the 2013 beneficiary of 100WHF's UK philanthropic initiatives.

If you have no-show fees in arrears, the system cannot register you for an event. You can view and pay for any outstanding no-show fees online from your [Member Profile](#)

Space is limited. No walk-ins will be permitted.

Biographies

Bridget Barker, Head of Investment Funds and Financial Services Group, Macfarlanes LLP

Bridget is head of the investment funds and financial services group at Macfarlanes LLP. She advises clients on establishing both onshore and offshore private funds for private equity, real estate, mezzanine, infrastructure, debt, renewable energy, hedge and other types of funds. She has considerable experience acting for both fund managers and also for a variety of investors from both the UK and abroad. In addition, Bridget advises on a variety of financial services and regulatory issues.

Bridget was listed in the table of "Most highly regarded individuals" in the International Who's Who of Private Fund Lawyers in 2012. She has also been shortlisted for IFLR's Women in Business Law awards in the investment funds category in 2011 and as "Female private equity adviser of the year" in the Awards for Excellence in Private Equity Advisory Services, Europe 2010. The investment funds team has been shortlisted by Financial News for "Asset management team of the year" 2013.

Bill Prew, Founder, INDOS Financial Limited

Bill Prew is the founder of INDOS Financial Limited, an AIFMD related depositary business which will be launching shortly. Bill started his career in 1993 in the investment management division of Coopers & Lybrand (now PwC), qualifying as a chartered accountant in 1997. After 7 years with PwC in London and Sydney working on a broad range of financial services assignments, he helped establish an email technology company before returning to financial services and joining Barclays Global Investors in 2002. At BGI, Bill served in senior roles including European Chief Financial Officer and Head of Supplier Management, with responsibility for the management and oversight of BGI's European outsourced supplier relationships providing custody, fund accounting, transfer agency and client reporting services to over 2,000 accounts and \$620bn of traditional long-only and alternative funds.

In December 2007, he joined Moore Capital Management to support the spin-out of the management of the \$3.5bn Moore Credit Fund to James Caird Asset Management, a hedge fund manager with offices in London and New York. At JCAM, he was responsible for a range of operational areas including finance, legal, compliance, product control, investment operations, fund accounting and technology. On leaving JCAM in December 2012 to focus full time on AIFMD and the development of the INDOS business he held the position of Chief Operating Officer. Bill recently facilitated a series of AIFMD discussion groups for the London hedge fund community, with over 75 hedge fund Chief Operating Officers taking part.

Kate Wormald, Founder, Oesa Limited

Kate Wormald qualified as a Barrister and left the independent bar to work in the City of London as a sales and trading lawyer. She has gained invaluable experience as an in house counsel to large financial institutions including Daiwa Securities, Salomon Brothers and Goldman Sachs. Where she was the senior sales and trading lawyer supporting the trading activity across a broad range of financial products. These included the fixed income, equity, emerging markets and foreign exchange proprietary and arbitrage trading desks during a period of great innovation in the derivatives markets. Kate has contributed too many industry committees dealing with a host of legal and regulatory issues including various ISDA working groups. She also was a senior consultant known as a "Grey Panther" to the Markets and Exchange Division of the FSA.

In 2002 Kate founded Oesa Partners a niche consultancy firm providing legal and regulatory support to hedge funds and alternative asset managers in relation to their trading documentation such as ISDA, GMRA, Prime Brokerage Agreements, F&O and Clearing documentation.

Caroline Hoare, Founder, IPAF (UK) Ltd.

Caroline Hoare is one of the founders of IPAF (UK) Ltd. She is experienced in hedge fund regulation and governance, fund structuring, legal and compliance, risk and operational management and all aspects of running a hedge fund business. She was called to the Bar in 1978 and admitted to the Roll of Solicitors in 1985.

Caroline joined the futures and options broker, GNI Ltd, in 1994, becoming a director of GNI Fund Management Ltd (the Gerrard Group's alternative funds business) in 1999. Caroline was the Chief Executive Officer of the hedge fund GLC Ltd from 2001 to 2010 and was also a director of the company.

In 2010 Caroline was named as one of the Top 50 Leading Women in Hedge Funds (the Hedge Fund Journal in association with PwC) as well as one of the 100 Influential Women in Finance working in European financial markets (Financial News).

About Macfarlanes LLP

As advisers to many of the world's leading businesses and business leaders, Macfarlanes offers clients an alternative to the world's legal giants. We are recognised for the quality of our work, not just in dealing with the full range of corporate and commercial matters, but in advising our clients on their private affairs. They trust our judgment and we are in a unique position to advise on their most complex matters, but equally maintain the very highest levels of service and partner involvement that our clients expect.

The nature of business today is that we regularly advise on matters that reach across multiple jurisdictions. By working with other leading independent law firms and lawyers around the world in each relevant jurisdiction, we provide a seamless service, wherever and whenever our clients need it.

About 100 Women in Hedge Funds (www.100womeninhedgefunds.org)

100 Women in Hedge Funds is a global, practitioner-driven non-profit organization serving over 12,000 alternative investment management investors and professionals through educational, professional leverage and philanthropic initiatives. Formed in 2001, 100 Women in Hedge Funds has hosted close to 400 events globally, connected more than 250 senior women through Peer Advisory Groups and raised nearly \$30 million for philanthropic causes in the areas of women's health, education and mentoring.