



## 100 women in hedge funds®

### Women & The Boardroom: U.S. and Offshore Fund Perspectives

May 15, 2013 at 6 PM  
Chicago, IL

We've seen the numbers, women hold only 14% of board seats at S&P 1500 companies, but what does the fund universe tell us? In an environment of vastly changing onshore and offshore fund management, come hear from an engaging panel of on- and offshore board directors, global hedge fund COO, and a diversity perspective to discuss what challenges lay ahead and how women in hedge funds are helping to change the boardroom landscape across the asset management universe.

#### Participants

**Cindy Burrell**, Diversity in Boardrooms  
**Lorna Ferguson**, Nuveen Investments  
**Cassandra Powell**, Harbour Trust  
**Nick Vagra**, UBS O'Connor  
**Sue Cote**, *Moderator*, Ernst & Young LLP

#### Event Details

**Date:** May 15, 2013

**Time:** 5:30 PM Registration.

We will begin *promptly* at 6 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of 100WHF and the host. Please note the start time on this invite and plan to arrive early.

Networking and cocktails before and after session.

**Host:** Ernst & Young LLP

**Location:** 155 N Wacker Drive, **Chicago**, IL 60606

**RSVP:** [RSVP Now](#)

If you have any questions about this event, please contact the [Chicago](#) committee.

**This event is NOT FOR ATTRIBUTION. All 100WHF events are private events and we require that no one reports publicly on any aspect of them.**

**Admission is free, but there is a \$25 charge if you register and do not attend, even if you cancel in advance. No-show proceeds will be donated to Best Buddies, the 2013 beneficiary of 100WHF's US philanthropic initiatives.**

If you have no-show fees in arrears, the system cannot register you for an event. You can view and pay for any outstanding no-show fees online from your [Member Profile](#)

Space is limited. No walk-ins will be permitted.

## Biographies

### **Cindy Burrell**, President, Diversity in Boardrooms

Diversity in Boardrooms consults with Corporate Boards and Advisory Boards. Leading the firm, Ms. Burrell contributes over 20 years of executive search expertise with a special focus in recruiting and referring diverse director candidates who enhance a company's growth, profitability, and social responsibility reputation. In addition, the firm creates new and often innovative advisory Boards for private and public companies. Over the years, she developed best practices guidelines for boards and she often serves as a Board Facilitator.

In the Chicago area, Ms. Burrell is a recognized leader in the business community as well as a strong diversity advocate nationally. In 2012 she was one of 3 people awarded special recognition and named Director Emerita by the YWCA of Metropolitan Chicago, which has the mission of Empowering Women and Eliminating Racism. She was honored in 2011 by the Chicago Association of Minority Recruiters (CAMR) for her success in recruiting women and minorities to both corporate and advisory boards. She served nine years on the YWCA of Metropolitan Chicago Board, honored with an Officer's Award in 2009 and a specially bestowed Sojourner Truth Award from the Board President in 2002. As the YWCA Leader Luncheon Chair in 2000, fundraising results broke all records with more than \$600,000 raised. Ms. Burrell is a Lifetime Member of the National Association of Women Business Owners (NAWBO). At the Chicago NAWBO Chapter, she formerly served on the Board of Directors.

Ms. Burrell's academic achievements include a Master's degree from Boston University and a Bachelor's degree from DePauw University. After graduating, she served for two years as a Peace Corps Volunteer in Micronesia.

### **Lorna Ferguson**, Managing Director, Nuveen Investments

Lorna is Managing Director at Nuveen Investments, responsible for managing relationships with the Nuveen Fund Board, including liaison between the independent directors and Nuveen's Office of the Chair. She develops and leads the Fund Board Relations group, which coordinates more than 60 fund board and committee meetings per year. Lorna also holds several non-profit board memberships. Early in her career, Lorna held several roles in academic and applied research, including roles with Boston College and The University of Chicago.

### **Cassandra Powell**, Director, Harbour Trust

Cassandra Powell is a Director of The Harbour Trust Co. Ltd., a duly licensed Cayman Islands trust company and mutual fund administrator, and has responsibility for providing fiduciary services to Harbour's fund clients, including serving as an independent director for such funds, as well as having responsibility for unit trust structures for which Harbour serves as trustee.

Cassandra has over 12 years' financial services experience and joined Harbour in 2007. Cassandra began her career in the public sector, working within the Portfolio of Finance and Economic Development of the Cayman Islands Government where she engaged with the private sector on multi-departmental Government initiatives including the promotion of the Cayman Islands' financial services industry both locally and internationally. In 2001 Cassandra joined Queensgate Bank & Trust Co. Ltd., a professional services firm where she dealt exclusively with fund clients providing directorship and related fiduciary services. Cassandra holds a Master of Business Administration degree, is a Trust and Estate Practitioner (STEP), is an Executive Member of the Cayman Islands Directors Association and is a Notary Public in the Cayman Islands.

**Nick Vagra**, Chief Operating Officer, Managing Director, UBS O'Connor

Nicholas (Nick) Vagra is the Chief Operating Officer for Alternative and Quantitative Investments (A&Q), based in Chicago. A&Q is UBS Global Asset Management's leading hedge fund and alternative asset investment management platform. With over USD 34 billion under management, A&Q offers clients one of the widest product ranges and strongest global capabilities in the alternative investment industry, across both directly managed hedge funds ("single manager funds") and multi-manager or fund of funds.

Nick oversees the operations and product/financial control functions within A&Q and ensures that the appropriate control infrastructure is in place for the business. In addition, he serves as A&Q's credit officer and oversees the treasury function. Nick has been with the UBS Group and its predecessor firms since 1991. Nick joined A&Q in 2001 and since then has been on assignment across many of A&Q's offices including assignment in London. From 1997 until 2001, he was the Senior Business Manager for UBS Investment Bank's US equity business based in Stamford, Connecticut. In that role he was responsible for management oversight of the business in the US as well as managing the equity's business financing and tax exposures. Nick also served as Treasurer for SBC Warburg Inc., then the firm's registered US broker dealer. From 1993 to 1996, he was in the Credit/Market Risk Control area of the then Swiss Bank Corporation, covering hedge funds. He joined UBS Investment Bank in 1991 as an Analyst in commodity trade finance of the then Swiss Bank Corporation. Nick has over 19 years of investment industry experience and holds a BBA from Pace University and an MBA from Fordham University.

**Sue Cote**, Partner, Ernst & Young LLP

Ms. Côté is the America's Director of Ernst & Young's Asset Management Practice and brings more than 30 years experience in financial services, including 21 years in public accounting (14 at Ernst & Young) serving financial services clients and 14 years in the investment management industry (at Prudential). Ms. Côté's 30+ year career spans many aspects of the asset management business, including accounting, operations, technology, regulatory and product development. Ms. Côté's experience has included assurance procedures relating to mutual funds, hedge funds and institutional asset management companies, assessments of internal control practices and operating effectiveness, development of operating policies and procedures, consultation on new product development and board consultations regarding contract renewals, mergers, and fair value issues. While at Prudential, Ms. Côté had overall responsibility for the direction and management of financial, regulatory, tax and compliance reporting for all mutual funds managed and/or administered by Prudential as well as corporate level accounting for the various Prudential subsidiaries marketing mutual funds/annuities to individual investors. During her 14 years at Prudential, Ms. Côté also served as Chief Operating Officer and Managing Director of Prudential Mutual Fund Investment Management, as well as Senior Vice President, Fund Administration, at Prudential Mutual Fund Management. As Chief Operating Officer of Prudential's investment adviser, Ms. Côté had responsibility for investment operations, systems and compliance, among other areas. Prior to joining Prudential, Ms. Côté spent seven years at a Big Four firm auditing financial services clients. Ms. Côté is a CPA in New York and has a BS in Accounting from City University in New York.

**About 100 Women in Hedge Funds** ([www.100womeninhedgefunds.org](http://www.100womeninhedgefunds.org))

100 Women in Hedge Funds is a global, practitioner-driven non-profit organization serving over 12,000 alternative investment management investors and professionals through educational, professional leverage and philanthropic initiatives. Formed in 2001, 100 Women in Hedge Funds has hosted close to 400 events globally, connected more than 250 senior women through Peer Advisory Groups and raised nearly \$30 million for philanthropic causes in the areas of women's health, education and mentoring.