

Education Session No. 287: Operating Hedge Funds in the New World Order

October 3, 2011 at 6 PM New York

It's not the end of the world, just the beginning of a different one... Our panel of experts in investment adviser and derivatives regulation will offer practical insights on how hedge fund managers should adapt their business to the new regulatory regime.

Please join us for a timely discussion regarding:

- Systemic risk reporting by hedge fund managers and the impact of heightened prudential standards.
- Trading derivatives and swaps under new CFTC regulations.
- Incentive-based compensation arrangements under proposed inter-agency prohibitions and reporting requirements.

Participants

Thomas A. Biolsi, PricewaterhouseCoopers LLP Sylvie Durham, Greenberg Traurig, LLP Suzan Rose, Marshall Wace North America L.P. Genna Garver, *Moderator*, Greenberg Traurig, LLP

Event Details

Date: October 3, 2011
Time: 5 PM Registration.
We will begin *promptly* at 6 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of 100WHF and the host. Please note the start time on this invite and plan to arrive early.
Networking and cocktails before and after session.
Host: Greenberg Traurig
Location: 200 Park Avenue, at 45th St (Met Life building), 15th floor, New York, NY
RSVP: http://www.100womeninhedgefunds.org/pages/event_registration_invited.php?p=5729457

This event is NOT FOR ATTRIBUTION.

Admission is free, but there is a \$25 charge if you register and do not attend, even if you cancel in advance. No-show proceeds will be donated to the Clinton Global Initiative's US Childhood Obesity Prevention Program, the 2011 beneficiary of 100WHF's US philanthropic initiatives. If you have no-show fees in arrears, the system cannot register you for an event. You can view and pay for any outstanding no-show fees online from your member profile at: http://www.100womeninhedgefunds.org/pages/my_profile.php

Space is limited. No walk-ins will be permitted.

Biographies

Thomas A. Biolsi, PricewaterhouseCoopers LLP

Tom Biolsi is a Principal in the Financial Services Regulatory Practice and has 30 years of industry experience. He is a subject matter specialist on regulatory issues and serves PwC's financial services industry clients helping asset managers manager risk with increased confidence and clarity.

Tom recently rejoined PwC after completing three years in the New York Regional Office of the Securities and Exchange Commission as the Associate Regional Director. At the SEC, Tom was responsible for the Investment Management Inspection Program, overseeing more than 2,000 registrants with over \$10 trillion in assets under management. Tom also led training programs for foreign regulators in Asia, Europe and Central America.

Prior to serving as the Associate Regional Director at the SEC, Tom served as a Managing Director in PricewaterhouseCoopers' Regulatory Consulting Practice for ten years. He developed a mock SEC audit inspection program and numerous other initiatives related to developing protocols and risk controls for the asset management industry. His expertise is frequently requested from law firms on behalf of asset management firms and from regulators.

Tom also worked for seven years as a Chief Compliance Officer for a global asset management organization.

Tom is a Cum Laude graduate of Chapman College. He is a frequent speaker for organizations such as the Practicing Law Institute, the New York City Bar Association, Harvard Law School, New York University Law School, and many other industry and academic conferences.

Sylvie Durham, Greenberg Traurig, LLP

Sylvie Durham is a shareholder at Greenberg Traurig, LLP and has more than 25 years of experience on both the legal and business side of private funds, derivatives, synthetic transactions, and structured products. Prior to Greenberg, she spent three years as the general counsel and investment director at a New York-based structured credit fund. Prior to that, she was an investment banker and the Head of Structured Equity Products at BNP Paribas where she concentrated on structuring equity derivative products for hedge funds and corporations. Prior to BNP Paribas, she was a partner in the Corporate Department at the New York law firm of Weil, Gotshal & Manges LLP.

Suzan Rose, Marshall Wace North America L.P.

Suzan Rose joined Marshall Wace North America L.P. in October 2004 as Chief Compliance Officer. Suzan is responsible for ensuring that the firm's activities and those of its counterparties satisfy all regulatory and policy requirements in the US and abroad. Suzan joined the Firm from Lehman Brothers, where she was the Senior Supervisory Analyst for the US Equity Research division.

During nearly 20 years in the investment industry, Suzan has held a variety of senior compliance and management roles in the equity and fixed income areas of several prominent financial firms, such as Goldman Sachs, Credit Suisse, and Citigroup.

Suzan has a B.A. in Communications from HofstraUniversity, is an active member of a number of industry groups, and lectures in the industry on regulatory topics.

Genna Garver, Greenberg Traurig, LLP

Genna Garver is of counsel in the Corporate & Securities Practice of Greenberg Traurig's New York office. She represents financial institutions in a variety of transactional and regulatory matters with a focus on investment advisers, hedge funds and other private investment funds. Genna advises clients on formation and offering matters for domestic and offshore funds, mergers and acquisitions, securities regulation, Securities and Exchange Commission (SEC) and state investment adviser registration and exemptions, the development and implementation of Advisers Act compliance programs and procedures, ongoing compliance matters, mock audits and regulatory examinations. She also has counseled banking clients on complex structuring and capital issues regarding M&A, risk capital matters, affiliated transactions and securities lending agency transactions.

About Greenberg Traurig

GT GreenbergTraurig

Greenberg Traurig is an international law firm with approximately 1800 attorneys and government affairs professionals in the United States, Europe and Asia. Our firm's experience with assisting hedge fund clients is greatly enhanced by our significant experience in a broad range of legal disciplines.

GT is proud of our commitment to our women lawyers' success, which includes a firm-wide women's initiative encompassing professional development, mentoring and networking opportunities with female professionals from like-minded outside organizations.

About 100 Women in Hedge Funds (www.100womeninhedgefunds.org)

100 Women in Hedge Funds is a global, practitioner-driven non-profit organization serving over 10,000 alternative investment management investors and professionals through educational, professional leverage and philanthropic initiatives. Formed in 2001, 100 Women in Hedge Funds has hosted more than 250 events globally, connected more than 250 senior women through Peer Advisory Groups and raised globally over \$21.5 million gross for philanthropic causes in the areas of women's and family health, education and mentoring. For more information about 100 Women in Hedge Funds, please visit www.100womeninhedgefunds.org.

Give Back

100 Women in Hedge Funds provides a 'Give Back' program that enables members to match their resources (time, access, financial) to projects that will help us expand our successful initiatives. Visit http://www.100womeninhedgefunds.org/pages/give_back.php today and tell us how you can help.

100WHF Connect!

Get *Connected* today! Visit <u>http://www.100womeninhedgefunds.org/pages/membership_connect.php</u> for details and to sign up.

100WHF Access Fee

Have you paid your access fee? If not please go to https://www.100womeninhedgefunds.org/pages/member_payment.php. We appreciate your continued support!