

# Education Session No. 276: UPDATED INVITE - An Evening at the London Stock Exchange

July 5, 2011 at 6:30 PM London

Join us at the London Stock Exchange to discuss derivatives and regulation in the continually-shifting industry landscape. We will weigh the potential impact of the recent developments in regulation and venue consolidation on the market and its investors, with insightful commentary from some of the industry's leading regulators and participants. As Europe's largest exchange with a market capitalization of over \$3.5 trillion, the LSE serves as an apposite venue for this event with a deep history that stretches back over 200 years.

### **Participants**

Gay Huey Evans, International Swaps and Derivatives Association, Inc.
Kate Wormald, Managing Director, Oesa Limited
Nicolas Bertrand, London Stock Exchange Group
Dr. Robert Barnes, UBS Investment Bank
Stuart Anderson, BlackRock Multi-Asset Client Solutions

#### **Event Details**

**Date:** July 5, 2011 **Time:** 6 PM Registration.

We will begin *promptly* at 6:30 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of

100WHF and the host. Please note the start time on this invite and plan to arrive early.

Host: London Stock Exchange

**Location:** 10 Paternoster Square, **London**, EC4M 7LS, United Kingdom **RSVP:** http://www.100womeninhedgefunds.org/pages/event\_registration.php

This event is NOT FOR ATTRIBUTION.

Admission to this event is free, but there is a £20 charge if you register and do not attend (even if you cancel in advance). No-show proceeds will be donated to the Child Bereavement Charity, the 2011 beneficiary of 100WHF's UK philanthropic initiatives.

If you have no-show fees in arrears, the system cannot register you for an event. You can view and pay for any outstanding no-show fees online from your member profile at: <a href="http://www.100womeninhedgefunds.org/pages/my\_profile.php">http://www.100womeninhedgefunds.org/pages/my\_profile.php</a>

Space is limited. No walk-ins will be permitted.

# **Biographies**

**Gay Huey Evans**, Vice Chairman of the Board, International Swaps and Derivatives Association, Inc. Gay Huey Evans was appointed Vice Chairman of the Board and Non-Executive Chairman, Europe of the International Swaps and Derivatives Association in January 2011. Ms. Huey Evans returned to ISDA after a long and distinguished career in the financial markets, holding senior roles in both the banking and regulatory sectors.

Prior to joining ISDA, Ms. Huey Evans served as Vice Chairman of Barclays Investment Banking and Investment Management (IBIM) with responsibility for Barclays' relationships with Sovereign Wealth Funds.

Prior to joining Barclays in April 2008, Ms. Huey Evans was Head of Governance, Citi Alternative Investments (EMEA) and President of Tribeca Global Management (Europe) Ltd., Citi's proprietary hedge fund prior to its acquisition of Old Lane in 2007.

Before joining Citi in 2005, Ms. Huey Evans was Director of Markets Division and Capital Markets Sector Leader at the Financial Services Authority (FSA). During her tenure at the FSA, Ms. Huey Evans was appointed Chairman of the Joint Forum, a group of technical experts working under the Basel Committee on Banking Supervision (BCBS), the International Organization of Securities Commissions (IOSCO) and the International Association of Insurance Supervisors (IAIS).

Ms. Huey Evans joined the FSA in 1998 from Bankers Trust where she had been a Senior Managing Director. During her tenure at Bankers Trust, Ms. Huey Evans served as the Chairman of the International Swaps and Derivatives Association (ISDA) from 1994 to 1998. Under her leadership, ISDA developed its central strategic role in serving the global OTC derivatives marketplace and became the leading industry organization in helping to make markets safer and more efficient.

Ms. Huey Evans currently serves as a Non-Executive Director of the London Stock Exchange. She is a Trustee of Wigmore Hall, a Trustee of Wellbeing of Women and a Trustee of Bucknell University.

Ms. Huey Evans graduated with a B.A. in Economics from Bucknell University.

# Kate Wormald, Managing Director, Oesa Limited

Kate Wormald qualified as a Barrister in 1987 and after completing her pupillage went to work in the City for Hill Samuel. Over the past 24 years she has gained experience as an in house counsel to large financial institutions including Daiwa Securities, Salomon Brothers and Goldman Sachs. Kate provided legal and regulatory support to the fixed income, equity, emerging markets and foreign exchange proprietary and arbitrage trading desks for both derivatives, structured and cash book products.

Kate has contributed to many industry committees dealing with a host of legal and regulatory issues including various ISDA working groups.

From 1999 to 2002 Kate was a "Grey Panther" within the Markets and Exchange Division of the FSA advising on the impact of the regulatory changes occurring during N2 including the Code of Market Conduct.

In Autumn 2002 Kate established Oesa Limited to provide legal and regulatory services to Hedge Funds and their investment managers. In particular Oesa reviews and negotiates the secondary trading documentation required by the Hedge Funds and their investment managers to establish and maintain trading and counterparty relationships.

**Nicolas Bertrand**, Head of Equities and Derivatives, London Stock Exchange Group
Nicolas Bertrand is Head of Equities and Derivatives for London Stock Exchange Group. Appointed in 2010,
Nicolas is responsible for UK and Italian equity markets and the Group's derivatives markets.

Nicolas joined Borsa Italiana in November 1999 and has been responsible for IDEM, the Italian Derivatives

Market of Borsa Italiana, since January 2004. In July 2009, Nicolas was appointed head of the newly created "Equity Markets and IDEM" division within the London Stock Exchange Group, which combined the equity cash and derivatives markets, overseeing the Italian and UK equity cash markets and IDEM. He is also a board member of FTSE and Turguoise.

Prior to joining the Exchange, Nicolas worked for Citibank and developed his expertise trading on various markets and asset classes. Nicolas graduated in econometrics and holds a postgraduate degree in finance (DESS 203) from Université Paris IX-Dauphine in Paris.

# Dr. Robert Barnes, Managing Director, Equities, UBS Investment Bank

BA Harvard, PhD Cambridge. Joined the proprietary trading team at Swiss Bank Corporation in 1994, gained experience 'downstairs' on the Liffe derivatives floor as a yellow jacket and 'upstairs' trading special situations via brokers. Currently, Managing Director, Equities responsible for Market Structures at UBS Investment Bank and Chief Executive of UBS MTF. Chairman 2004-2009 of the Securities Trading Committee of the London Investment Banking Association / AFME, a member of user advisory groups for a number of Stock Exchanges and pro-competitive initiatives world-wide, the FSA's Capital Markets Senior Practitioner Committee, Euroclear's UK MAC, European Commission's CESAME + CESAME2, FTSE's Country Classification Committee and Policy Group, and a Chartered Fellow and Non-Executive Director of the Chartered Institute for Securities & Investment.

**Stuart Anderson**, Vice President and Portfolio Manager, BlackRock Multi-Asset Client Solutions Stuart Anderson, Vice President and Portfolio Manager, is a member of the BlackRock Multi-Asset Client Solutions (BMACS) group, which is responsible for developing, assembling and managing investment solutions involving multiple strategies and asset classes. Within BMACS he is a member of the Derivative Solutions Group focusing on the implementation of derivative solutions.

Prior to joining BlackRock in 2008, Mr. Anderson spent nine years with Bank of New York (formerly RBS Trust Bank) in derivatives operations supporting and managing Merrill Lynch Investment Managers (MLIM), and then BlackRock's back and middle office functions.

#### **About London Stock Exchange**

The London Stock Exchange Group is Europe's leading exchange group in cash equities, fixed income and post trade services. The Group, now a FTSE 250 company, is the result of the merger in 2007 of Borsa Italiana and London Stock Exchange. Both Borsa Italiana and London Stock Exchange each have a deep history that stretches back over 200 years. For more information go to www.londonstockexchangegroup.com.

## About 100 Women in Hedge Funds (<u>www.100womeninhedgefunds.org</u>)

100 Women in Hedge Funds is a global, practitioner-driven non-profit organization serving over 10,000 alternative investment management investors and professionals through educational, professional leverage and philanthropic initiatives. Formed in 2001, 100 Women in Hedge Funds has hosted more than 250 events globally, connected more than 250 senior women through Peer Advisory Groups and raised close to \$20 million for philanthropic causes in the areas of women's and family health, education and mentoring. For more information about 100 Women in Hedge Funds, please visit www.100womeninhedgefunds.org.

## **Give Back**

100 Women in Hedge Funds provides a 'Give Back' program that enables members to match their resources (time, access, financial) to projects that will help us expand our successful initiatives. Visit <a href="http://www.100womeninhedgefunds.org/pages/give\_back.php">http://www.100womeninhedgefunds.org/pages/give\_back.php</a> today and tell us how you can help.

### 100WHF Connect!

Get *Connected* today! Visit <a href="http://www.100womeninhedgefunds.org/pages/membership">http://www.100womeninhedgefunds.org/pages/membership</a> connect.php for details and to sign up.

# 100WHF Access Fee

Have you paid your access fee? If not please go to <a href="https://www.100womeninhedgefunds.org/pages/member\_payment.php">https://www.100womeninhedgefunds.org/pages/member\_payment.php</a>. We appreciate your continued support!



