



**100 women in
hedge funds®**

**Education Session No. 252:
The Effects of Dodd-Frank on the Hedge Fund Industry**

March 17, 2011 at 6 PM
Washington, D.C.

Over six months after the passage of the Dodd-Frank Wall Street Reform and Consumer Protection Act, many questions remain about the ultimate impact upon the hedge fund industry. As the industry continues to grow, how should the industry prepare while maintaining healthy and sustainable growth after the financial crises?

Join us and our distinguished panel of senior members of the SEC and CFTC for a discussion on the pending requirements and the practical issues surrounding implementation.

Participants

Cary Meer, *Moderator*, K&L Gates LLP
Dan Berkovitz, Commodity Futures Trading Commission
Robert B. Kaplan, Securities and Exchange Commission
Tram N. Nguyen, Securities and Exchange Commission

Event Details

Date: March 17, 2011

Time: 5 PM Registration.

We will begin *promptly* at 6 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of 100WHF and the host. Please note the start time on this invite and plan to arrive early.

Host: K&L Gates LLP

Location: 1601 K Street, NW, Washington, DC 20006

(Please see below for directions.)

RSVP: http://www.100womeninhedgefunds.org/pages/event_registration.php

This event is NOT FOR ATTRIBUTION.

Admission is free, but there is a \$25 charge if you register and do not attend, even if you cancel in advance. No-show proceeds will be donated to the 2011 beneficiary of 100WHF's US philanthropic initiatives.

If you have no-show fees in arrears, the system cannot register you for an event. Fees can be paid online at: https://www.100womeninhedgefunds.org/pages/noshow_payment.php

Space is limited. No walk-ins will be permitted.

Biographies

Cary Meer, Partner, K&L Gates LLP

Ms. Meer is a partner in K&L Gates' Washington, D.C. office and a member of the Investment Management practice group. She focuses her practice on private investment companies, including hedge and private equity funds, Commodity Exchange Act and Investment Advisers Act compliance matters, negotiated mergers and acquisitions of investment advisers and broker-dealers, derivatives and related areas. She holds a JD from Harvard Law School and a BS, summa cum laude, from the University of Pennsylvania's Wharton School.

Dan Berkovitz, General Counsel, Commodity Futures Trading Commission

Since joining the CFTC in June 2009, Dan Berkovitz has worked on the Dodd-Frank legislation and the CFTC's efforts to implement that legislation. Previously, Dan served as Counsel to the U.S. Senate Permanent Subcommittee on Investigations, where he led several major investigations into energy markets, including the role of speculation in the trading of natural gas and crude oil contracts. He graduated cum laude with an A.B. in Physics from Princeton University, and received a J.D. from University of California, Hastings College of Law.

Robert B. Kaplan, Co-Chief of Asset Management Unit, Division of Enforcement, Securities and Exchange Commission

Prior to his appointment to the AMU in January 2010, Robert Kaplan served for six years as an Assistant Director in the Division of Enforcement. From 1998-2004, he served as an Assistant Chief Litigation Counsel for the Division, where he litigated SEC enforcement actions in District Court and administrative proceedings. From 1995 to 1998, Robert spent three years as a staff attorney in the Division of Enforcement. Prior to joining the Commission, he worked in private practice for a law firm in New York City. Robert received his law degree from the New York University School of Law and his undergraduate degree from Columbia College, Columbia University.

Tram N. Nguyen, Office of Investment Adviser Regulation, Division of Investment Management, Securities and Exchange Commission

Since joining the Commission staff in May 2010, Ms. Nguyen has worked on various regulatory initiatives implementing the Dodd-Frank Act, including exemptions for advisers to venture capital funds and private funds. Previously, Ms. Nguyen was in private practice for nearly 12 years with large multi-national law firms in both Washington, D.C. and New York, New York, where she focused on hedge fund and private equity fund formation, structuring, compliance and related issues. She holds a JD from Columbia University, an MA from Harvard University and a BA, magna cum laude, from Princeton University.

Directions

Paid parking is located at 1601 K Street, NW.

[Click here for more directions](#)

About K&L Gates LLP

K&L Gates LLP comprises nearly 2,000 lawyers who practice in 37 offices located on three continents. The core Financial Services practice of K&L Gates includes one of the largest and most experienced investment management teams in the United States. More than 100 lawyers in 14 offices in the United States, Europe, and Asia focus their time providing legal services to the investment management and professional investor communities. With an exceptional depth of knowledge and experience, K&L Gates' lawyers represent clients in connection with the full range of investment management products and services, including all types of onshore and offshore hedge funds, private equity and venture funds, funds of hedge funds, private equity funds and venture funds, as well as open-end and closed-end investment companies, ETFs, UCITs, variable insurance products, common trust funds, collective funds and unit investment trusts.

About 100 Women in Hedge Funds (www.100womeninhedgefunds.org)

100 Women in Hedge Funds is a global, practitioner-driven non-profit organization serving over 10,000 alternative investment management investors and professionals through educational, professional leverage and philanthropic initiatives. Formed in 2001, 100 Women in Hedge Funds has hosted more than 250 events globally,

connected more than 250 senior women through Peer Advisory Groups and raised close to \$20 million for philanthropic causes in the areas of women's and family health, education and mentoring. For more information about 100 Women in Hedge Funds, please visit www.100womeninhedgefunds.org.

Give Back

100 Women in Hedge Funds provides a 'Give Back' program that enables members to match their resources (time, access, financial) to projects that will help us expand our successful initiatives. Visit http://www.100womeninhedgefunds.org/pages/give_back.php today and tell us how you can help.

100WHF *Connect!*

Get *Connected* today! Visit http://www.100womeninhedgefunds.org/pages/membership_connect.php for details and to sign up.

100WHF Access Fee

Have you paid your access fee? If not please go to https://www.100womeninhedgefunds.org/pages/member_payment.php. We appreciate your continued support!