



**100 women in
hedge funds®**

**Education Session No. 219:
The Impact of the Financial Crisis on the U.S. Capital Markets and their Regulatory Structure**

September 29, 2010 at 6 PM
Washington, DC DC

Since the events of 2008, the causes of the financial crisis, the responsibilities of different regulators and industry participants, and the implications for the future of the international financial system have been much-debated.

Our panel will share their unique perspectives on the regulatory structure that has emerged and the coming impact upon the day to day operations of capital markets, and private funds in particular. Our areas of focus will include:

- * the roles of the regulators, focusing on the SEC and Treasury;
- * the importance of economic analysis in policy-making; and
- * the impact of change on the fund industry.

Participants

Marybeth Sorady, Moderator, Katten Muchin Rosenman LLP
Matthew Kabaker, U.S. Treasury Department
Stewart J. Kaswell, Managed Funds Association
Bruce Kraus, U.S. Securities and Exchange Commission

Event Details

Date: September 29, 2010

Time: 5 PM Registration.

We will begin *promptly* at 6 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of 100WHF and the host. Please note the start time on this invite and plan to arrive early.

Host: Katten Muchin Roseman LLP

Location: Katten Muchin Rosenman LLP

2900 K Street NW, North Tower - Suite 200, **Washington, DC**, DC 20007-5118
(Please see below for directions.)

RSVP: http://www.100womeninhedgefunds.org/pages/event_registration.php

This event is NOT FOR ATTRIBUTION.

Admission is free, but there is a \$25 charge if you register and do not attend, even if you cancel in advance. No-show proceeds will be donated to Big Brothers Big Sisters, the 2010 beneficiary of 100WHF's US philanthropic initiatives.

If you have no-show fees in arrears, the system cannot register you for an event. Fees can be paid online at: https://www.100womeninhedgefunds.org/pages/noshow_payment.php

Space is limited. No walk-ins will be permitted.

Biographies

Marybeth Sorady, Partner, Katten Muchin Rosenman LLP

Marybeth Sorady provides transactional and counseling services in several areas within the financial services industry, with emphasis on the representation of asset managers and funds of all types. She represents investment advisers, unregistered investment funds, registered investment companies and broker-dealers with emphasis on fund structuring and regulatory compliance in portfolio management and trading, market conduct, and sales practices.

Ms. Sorady began private practice with a Katten legacy firm in 1982, spent five and a half years as a Managing Director in the Regulatory Compliance Consulting Group of PricewaterhouseCoopers LLP and rejoined Katten in 2003. She received her Juris Doctor degree from Georgetown University Law Center.

Matthew Kabaker, Deputy Assistant Secretary Office of Capital Markets and U.S. Housing Finance, U.S. Treasury Department

Matthew Kabaker is a Senior Advisor to Secretary Geithner and a Deputy Assistant Secretary for Capital Markets at the Treasury Department. Prior to joining Treasury in January 2009, Mr. Kabaker was a Managing Director at The Blackstone Group where he spent ten years in the private equity area in New York and London. Mr. Kabaker graduated summa cum laude from the University of Pennsylvania. He lives in Washington DC with his wife and three children.

Stewart J. Kaswell, Executive Vice President and Managing Director, General Counsel, Managed Funds Association

Stuart J. Kaswell is MFA's Executive Vice President & Managing Director, General Counsel. In his role as chief legal officer, Stuart represents the hedge fund industry on domestic and international legislative and regulatory policy issues. Stuart works directly with MFA's President & CEO to oversee all legal aspects of the Association's legislative and regulatory policy initiatives in Washington and around the world.

Prior to joining MFA, Stuart was Partner in Bryan Cave's White Collar Defense & Investigations, Securities Litigations & Enforcement practice groups and focused on issues including financial services regulation and short sale regulation. Previously, Stuart was Partner in the financial services group at Dechert, LLP. Stuart was Senior Vice President and General Counsel, Securities Industry Association for nearly a decade (1994-2003) serving as chief legal officer and responsible for all legal and regulatory matters. Prior to that, he was Republican (Minority) Counsel, Committee on Energy and Commerce, U.S. House of Representatives.

Earlier in his career, (1979-1986) he held several positions at the Securities and Exchange Commission, including as branch chief, OTC regulation, during which time he was responsible for oversight of the NASD. Stuart received his A.B. in political science from Vassar College with General and Departmental Honors, and his J.D., from Washington College of Law, The American University. His bar admissions include Virginia, District of Columbia, Maryland, U.S. Supreme Court and various Federal Courts.

Bruce Kraus, Co-Chief Counsel, Division of Risk, Strategy and Financial Innovation, U.S. Securities and Exchange Commission

Bruce Kraus has been Co-Chief Counsel of SEC's new Risk, Strategy and Financial Innovation Division since the creation of that Division in the fall of last year. SEC Chairman Mary Shapiro formed Risk Fin in the wake of the

financial crisis and the Madoff scandal to make quantitative risk analysis and Wall Street expertise more important drivers of the agency's inspection, enforcement and rulewriting missions. Prior to that, for more than 20 years Mr. Kraus was a corporate and securities law partner in Willkie Farr & Gallagher LLP. After graduation from Yale Law School, he clerked for the Chief Judge of the Second Circuit Court of Appeals in New York.

Directions

Parking is available at 2900 K Street NW.

[Click here for more directions](#)

About 100 Women in Hedge Funds (www.100womeninhedgefunds.org)

100 Women in Hedge Funds is a global, practitioner-driven non-profit organization serving over 10,000 alternative investment management investors and professionals through educational, professional leverage and philanthropic initiatives. Formed in 2001, 100 Women in Hedge Funds has hosted more than 200 events globally, connected more than 150 senior women through Peer Advisory Groups and raised in excess of \$17 million for philanthropic causes in the areas of women's health, education and mentoring. For more information about 100 Women in Hedge Funds, please visit www.100womeninhedgefunds.org.

Give Back

100 Women in Hedge Funds provides a 'Give Back' program that enables members to match their resources (time, access, financial) to projects that will help us expand our successful initiatives. Visit

http://www.100womeninhedgefunds.org/pages/give_back.php today and tell us how you can help.

100WHF *Connect!*

Get *Connected* today! Visit http://www.100womeninhedgefunds.org/pages/membership_connect.php for details and to sign up.

100WHF Access Fee

Have you paid your access fee? If not please go to

https://www.100womeninhedgefunds.org/pages/member_payment.php. We appreciate your continued support!