



**100 women in
hedge funds®**

Education Session No. 202:

Financial Regulatory Reform - Heightened Regulation and Dissolution of Systemically Risky Institutions

May 18, 2010 at 6 PM
Greenwich CT

Congress is considering major financial regulatory reform that will have great significance to the hedge fund industry. In particular, "systemically risky" firms could be subject to heightened prudential standards and even forced dissolution through FDIC receivership.

Our distinguished panel of experts in investment adviser regulation and financial restructuring will offer practical insight on what firms should know about how the new systemic risk regulatory regime could impact their business and compliance with the law.

Learn the details of:

1. What it means to be "systemically risky".
2. The differences between bankruptcy and the proposed dissolution authority for certain systemically risky firms.
3. Heightened prudential standards for systemically risky firms.

Participants

Cheri Hoff, *Moderator*, Bracewell & Giuliani
Renée M. Dailey, Bracewell & Giuliani
Genna Garver, Bracewell & Giuliani
Suzan Rose, Marshall Wace North America L.P.
Teresa Ressel, UBS Securities LLC

Event Details

Date: May 18, 2010

Time: 5:30 PM Registration.

We will begin *promptly* at 6 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of 100WHF and the host. Please note the start time on this invite and plan to arrive early.

Networking and cocktails following session

Sponsor: Bracewell & Giuliani

Location: Greenwich Public Library

101 West Putnam Avenue, **Greenwich**, CT 06830-5387 - [Directions](#)

RSVP: http://www.100womeninhedgefunds.org/pages/event_registration.php

This event is NOT FOR ATTRIBUTION.

Admission is free, but there is a \$25 charge if you register and do not attend, even if you cancel in advance. No-show proceeds will be donated to our 2010 beneficiary.

If you have no-show fees in arrears, the system cannot register you for an event. Fees can be paid online at: https://www.100womeninhedgefunds.org/pages/noshow_payment.php

Space is limited. No walk-ins will be permitted.

Biographies

Cheri Hoff, Partner, Bracewell & Giuliani

Cheri Hoff is a partner in the firm's Corporate and Securities section. Her practice focuses on providing advice to private investment funds, investors and advisers. This includes work with private funds, including hedge funds, real estate funds, funds of funds, and hybrid funds.

She also has experience in private offerings of common and preferred shares and coordinating advice on permitted discretionary investment advisory services throughout North America, South America, Europe and Asia.

Moreover, Ms. Hoff has represented companies in complex business reorganizations and restructurings, including workouts, Chapter 11 filings, and merger and acquisition transactions involving distressed companies. In addition to her work dealing with investment management and financial restructuring, Ms. Hoff also works on general corporate and securities matters.

Education

J.D., New England School of Law, 1992

B.A., Eastern Kentucky University, 1989

Affiliations

100 Women in Hedge Funds

American Bankruptcy Institute

New York State Bar Association

Bar Admissions

-New York

-Massachusetts

Court Admissions

-U.S. District Court for the Southern and Eastern Districts of New York

Renée M. Dailey, Partner, Bracewell & Giuliani

Renée Dailey is a member of the firm's Financial Restructuring team. Ms. Dailey's practice focuses on representation of hedge funds and institutional investors in complex out-of-court restructurings and in-court proceedings both in the U.S. and internationally. Ms. Dailey's practice also involves the representation of debtor-in-possession lenders in chapter 11 cases and strategic purchasers in 363 acquisitions.

Education

J.D., University of Connecticut School of Law, 1999

B.A., magna cum laude, University of Connecticut, 1996

B.A., magna cum laude, University of Connecticut, 1995

Affiliations

American College of Investment Counsel
Connecticut Bar Association
American Bar Association

Noteworthy

Lecturer, University of Connecticut School of Law, teaching an annual seminar entitled "International and Comparative Corporate Insolvency Law"

Named by Connecticut Law Tribune as a "Woman in the Law - High Achiever"

Bar Admissions

- Connecticut
- District of Columbia

Court Admissions

- U.S. District Court for the Southern District of New York
- U.S. District Court Connecticut

Genna Garver, Associate, Bracewell & Giuliani

Ms. Garver handles a wide variety of transactional corporate and securities law matters for institutional and individual investors, investment funds and their managers, as well as for public and private operating companies. Ms. Garver's practice focuses on hedge fund, private equity, venture capital fund formation and operational issues, including SEC registration and other licensing matters; the development and implementation of compliance programs and procedures; ongoing compliance matters; regulatory examinations; investment management agreements; marketing and distribution arrangements; seed investment transactions; joint ventures; swap and other derivative transactions; marketing and advertising materials and practices; and manager due diligence practices.

Education

J.D., Northeastern University School of Law, 2000
B.A., cum laude, Bowdoin College, 1997

Affiliations

American Bar Association
Connecticut Hedge Fund Association
Managed Funds Association
100 Women in Hedge Funds

Bar Admissions

- Connecticut
- New York
- Massachusetts

Suzan Rose, Chief Compliance Officer, Marshall Wace North America L.P.

Ms. Rose joined Marshall Wace North America L.P. in October 2004 as Chief Compliance Officer; she was part of the core team that built and launched the business. She is responsible for overseeing compliance-related and legal matters, ensuring that the firm's activities and those of its counterparties satisfy all regulatory and policy requirements in the US and abroad. She also is responsible for Human Resources and assists in managing the day-to-day business of the firm. In addition, as part of a global team, Ms. Rose works on behalf of the firm's affiliated investment advisers in London and Hong Kong to fulfill their respective regulatory requirements.

During nearly 20 years in the investment industry, Ms. Rose has held a variety of senior compliance and management roles in the equity and fixed income areas of several prominent financial firms, such as Goldman Sachs, Credit Suisse, Citigroup, and Lehman Brothers.

Ms. Rose has a B.A. in Communications from Hofstra University and is an active member of a number of industry groups.

Teresa Ressel, CEO, UBS Securities LLC

Ms. Ressel joined UBS Investment Bank in 2004 as the Chief Operating Officer, UBS Americas, and was subsequently named CEO, UBS Securities LLC for UBS Investment Bank in 2006 which is responsible for UBS' broker dealer operations. Teresa manages a broad array of supervisory control, regulatory, compliance, and logistics functions covering the United States and Canada as well as banking activities intersecting the Americas regulatory controls including Bank Holding company, Branch Management and governance.

Prior to UBS, Teresa was confirmed by the United States Senate as Assistant Secretary for Management and Chief Financial Officer of the Department of Treasury. Teresa's role as Chief Financial Officer included ownership for audit and controller functions, financial reporting, cost management, risk management, as well as budget formulation and budget execution. She began her government service in 2001 and departed in 2004. Teresa's leadership focus during her tenure included eliminating several material weaknesses findings, implementing timely financial closing statements, and improving the quality of pension plan reporting and communications with stakeholders across the government and external as well.

Overall, Teresa's financial and leadership experience spans healthcare, defense, manufacturing and financial services over 20 years within the private sector in addition to her CFO role at the U.S. Treasury.

Ms. Ressel graduated from the University of Delaware, College of Engineering. She completed her MBA from Rensselaer Polytechnic Institute.

Teresa serves as trustee at the Institute for International Banking (IIB), New York, NY which represents international banks relative to their US activities. Teresa serves on the Board of The Clearing House, the leading private-sector payment infrastructure for clearing and settling U.S. Dollar payments. Teresa is actively involved in fostering employee involvement across a board range of charitable organizations including the Red Cross, several Community Hospice organizations, and children's education. Teresa is a member of the Council on Foreign Relations and is actively involved in politics at all levels.

About Bracewell & Giuliani

Bracewell & Giuliani LLP is an international law firm with 470 lawyers in Texas, New York, Washington, D.C., Connecticut, Seattle, Dubai, Kazakhstan and London. We serve Fortune 500 companies, major financial institutions, leading private investment funds, governmental entities and individuals concentrated in the energy, technology and financial services sectors.

Bracewell's Private Investment Funds practice provides sophisticated legal representation tailored to the unique requirements of the world's leading private investment funds including hedge funds, fund of funds, private equity funds, venture capital funds, and real estate funds, and investors in these funds. Our clients include registered and unregistered investment advisers, high net worth and institutional investors, and other participants in the investment community.

About 100 Women in Hedge Funds (www.100womeninhedgefunds.org)

100 Women in Hedge Funds is a global, practitioner-driven non-profit organization serving over 10,000 alternative investment management investors and professionals through educational, professional leverage and philanthropic initiatives. Formed in 2001, 100 Women in Hedge Funds has hosted more than 160 events globally, connected more than 150 senior women through Peer Advisory Groups and raised in excess of \$17 million for philanthropic causes in the areas of women's health, education and mentoring. For more information about 100 Women in Hedge Funds, please visit www.100womeninhedgefunds.org.

Give Back

100 Women in Hedge Funds provides a 'Give Back' program that enables members to match their resources (time, access, financial) to projects that will help us expand our successful initiatives. Visit http://www.100womeninhedgefunds.org/pages/give_back.php today and tell us how you can help.

100WHF *Connect!*

Get *Connected* today! Visit http://www.100womeninhedgefunds.org/pages/membership_connect.php for details and to sign up.

100WHF Access Fee

Have you paid your access fee? If not please go to https://www.100womeninhedgefunds.org/pages/member_payment.php. We appreciate your continued support!

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