



**100 women in
hedge funds®**

**Education Session No. 201:
Looking Forward with the SEC: Enforcement Priorities for 2010**

May 12, 2010 at 5 PM
San Francisco CA

Under Chairwoman Mary Schapiro, the SEC has announced a number of initiatives to improve the Commission's regulation and oversight of investment advisers. The SEC has also been actively investigating alleged fraudulent activity by investment advisers, resulting in a number of recent high-profile civil cases.

Join us for a roundtable discussion about these recent developments and the SEC's current enforcement priorities. A panel of prominent market participants and regulators, including Jina Choi and Robert Leach, Assistant Regional Directors in the Asset Management and Market Abuse sections of the Enforcement Division, will explore issues relating to fraud and insider trading detection and prosecution, as well as the Enforcement Division's new tools to facilitate and reward cooperation.

Participants

Jina L. Choi, SEC
Catherine Hutt, Sidley Austin LLP
Robert Leach, SEC
Ann Oglanlian, *Moderator*, ReGroup, LLC
Carol Lynn Thompson, Sidley Austin LLP

Event Details

Date: May 12, 2010

Time: 5 PM Registration.

We will begin *promptly* at 5 PM; please arrive early. Since it is disruptive to everyone when latecomers enter the session, those arriving after an education session has begun will only be admitted at the discretion of 100WHF and the host. Please note the start time on this invite and plan to arrive early.

Networking and cocktails begin at 5 PM; panel discussion begins at 6 PM.

Host: Sidley Austin LLP



Location: Mandarin Oriental Hotel

222 Sansome Street, San Francisco, CA 94104 - [Directions](#)

RSVP: http://www.100womeninhedgefunds.org/pages/event_registration.php

This event is NOT FOR ATTRIBUTION.

Admission is free, but there is a \$25 charge if you register and do not attend, even if you cancel in advance. No-show proceeds will be donated to our 2010 beneficiary.

If you have no-show fees in arrears, the system cannot register you for an event. Fees can be paid online at: https://www.100womeninhedgefunds.org/pages/noshow_payment.php

Space is limited. No walk-ins will be permitted.

Biographies

Jina L. Choi, Assistant Regional Director for Enforcement, SEC

Jina Choi is an Assistant Regional Director for Enforcement in the SEC's San Francisco Regional Office, where, among other responsibilities, she serves as an Assistant Director in the Division of Enforcement's Market Abuse Unit. Prior to joining the SEC, Ms. Choi had been an associate at Davis Polk & Wardwell in New York and served as a Trial Attorney in the Civil Rights Division at the U.S. Department of Justice in Washington, DC. Ms. Choi also served as an Assistant United States Attorney in the Northern District of Texas. She received her B.A. from Oberlin College and her J.D. from Yale Law School.

Catherine Hutt, Partner, Sidley Austin LLP

Catherine E. Hutt is a partner in Sidley Austin's Los Angeles Corporate and Finance Group. Ms. Hutt's practice focuses on investment funds and investment advisers, including the formation and structuring of private open-end and closed-end funds. She advises both foreign and domestic clients in connection with domestic and international offerings of hedge funds as well as the organization and operation of investment advisers, including federal and state investment adviser regulation and compliance.

Robert Leach, Assistant Regional Director for Enforcement, SEC

Robert Leach is an Assistant Regional Director for Enforcement in the SEC's San Francisco Regional Office. As an Assistant Director, Mr. Leach manages investigations into possible violations of the federal securities laws. He is a member of the Division's Asset Management Unit. Before joining the SEC in 2003, Mr. Leach was an associate with Latham & Watkins LLP in its San Francisco and Los Angeles offices. He also served as a law clerk to United States District Judge John G. Davies in Los Angeles. Mr. Leach earned a J.D. from UCLA School of Law and B.A. in History from Yale University.

Ann Oglanlian, President, ReGroup, LLC

Ann Oglanlian is the President and CEO of ReGroup, LLC, a San Francisco-based consulting firm providing strategic and tactical business guidance to investment management firms. Formerly, she was the Managing Director, General Counsel and Chief Compliance Officer for San Francisco-based Montgomery Asset Management. Prior thereto, she was a partner in the investment services practice group of the Chicago-based law firm Vedder Price, where she represented a wide range of mutual funds, investment advisers, independent fund directors and broker/dealers. Formerly, Ann served as in-house counsel to Strong Capital Management and Kemper Financial Services.

Carol Lynn Thompson, Partner, Sidley Austin LLP

Carol Lynn Thompson is a partner in Sidley Austin's San Francisco Litigation Group. She practices complex commercial litigation with an emphasis in securities litigation. Ms. Thompson's practice focuses on defending issuers, officers, directors, and accounting firms in shareholder class actions and derivative lawsuits. She also represents companies and individuals in proceedings before the SEC, and counsels clients in connection with internal investigations.

About Sidley Austin LLP

Sidley has a premier, global practice in structuring and advising investment funds and advisers. In 2008, the firm was named "Investment Funds Team of the Year for the U.S." by Chambers and Partners and reports in The

American Lawyer have ranked Sidley among the leading U.S. law firms representing mutual funds, based on assets under management. Additionally, Institutional Investor's Alpha magazine ranked Sidley as the number one onshore U.S. hedge fund law firm in 2006 and 2007 and second overall in 2008 and 2009 in the Alpha Awards™ for hedge fund legal service providers. Sidley was named as the 2009 Best Onshore Law Firm in Hedge Fund Manager (HFM) Week's inaugural U.S. Service Provider Awards. HFM Week is a weekly publication produced for the international hedge fund community. Sidley was also named as Best Onshore Law Firm for asset management in Japan by AsianInvestor in 2009, Best Law Firm for Alternative Assets by AsianInvestor in 2008, and Investment Funds Law Firm of the Year by Asian Legal Business in 2007. Our Investment Funds practice consists of more than 100 lawyers in Chicago, Hong Kong, London, Los Angeles, New York, San Francisco, Singapore and Tokyo.

With more than 80 lawyers in our San Francisco, Palo Alto, Chicago, Los Angeles, New York and Washington, D.C. offices handling securities litigation, Securities Law 360 ranks Sidley as one of the top five securities litigation firms. Sidley regularly represents companies, officers and directors, Audit Committees, broker-dealers, investment banks and hedge funds, outside professionals and others in all aspects of securities and corporate litigation and internal investigations. Sidley also regularly represents its clients in both civil and criminal proceedings before state and federal regulators and self-regulatory organizations, including the SEC, FINRA, NYSE, and in actions brought by state attorneys general. Sidley's national securities litigation practice includes seasoned SEC enforcement practitioners in all of our domestic offices. The firm also represents clients in the types of companion cases that are now routinely filed with federal securities cases, including those filed under state securities laws, antitrust laws and ERISA, as well as derivative lawsuits, bankruptcy-related litigation and lawsuits alleging professional malpractice and breach of fiduciary duty.

About 100 Women in Hedge Funds (www.100womeninhedgefunds.org)

100 Women in Hedge Funds is a global, practitioner-driven non-profit organization serving over 10,000 alternative investment management investors and professionals through educational, professional leverage and philanthropic initiatives. Formed in 2001, 100 Women in Hedge Funds has hosted more than 160 events globally, connected more than 150 senior women through Peer Advisory Groups and raised in excess of \$17 million for philanthropic causes in the areas of women's health, education and mentoring. For more information about 100 Women in Hedge Funds, please visit www.100womeninhedgefunds.org.

Give Back

100 Women in Hedge Funds provides a 'Give Back' program that enables members to match their resources (time, access, financial) to projects that will help us expand our successful initiatives. Visit http://www.100womeninhedgefunds.org/pages/give_back.php today and tell us how you can help.

100WHF *Connect!*

Get *Connected* today! Visit http://www.100womeninhedgefunds.org/pages/membership_connect.php for details and to sign up.

100WHF Access Fee

Have you paid your access fee? If not please go to https://www.100womeninhedgefunds.org/pages/member_payment.php. We appreciate your continued support!

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